



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Keiichiro OISHI

Serial No. 09/983,029

Filed: October 22, 2001

For: COPPER/ZINC ALLOYS HAVING
LOW LEVELS OF LEAD AND
GOOD MACHINABILITY

)
) Atty. Docket: **SUGI 0085**
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)
)
)
) Date: January 11, 2002
)

SUBMISSION OF MISSING PARTS OF NONPROVISIONAL APPLICATION

Box: MISSING PARTS

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

In completing the above-identified application, attached hereto are:

1. Executed Declaration;
2. Form PTO-1595 and Assignment to Sambo Copper Alloy Co., Ltd.;
3. Form PTO/SB/17 - Fee Transmittal (in duplicate);
4. Copy of Notice to File Missing Parts of Nonprovisional Application; and
5. Check in the amount of \$1,834.00 (Check #1726).

The fees required in this application are calculated as follows:

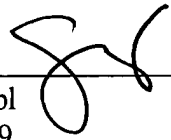
A.	Filing Fee (Large Entity)	\$ <u>740.00</u>
B.	Additional Independent Claims Fee	\$ <u>924.00</u>
C.	Surcharge (Large Entity)	\$ <u>924.00</u>
D.	Assignment recording fee	\$ <u>40.00</u>

TOTAL ENCLOSED **\$1,834.00**

The Commissioner is hereby requested and authorized to charge any additional fees under 37 CFR 1.16 and 1.17 (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-1281 under an Order Number corresponding to the above-noted Attorney Docket Number. A duplicate of this sheet is attached.

Respectfully submitted,

GRIFFIN & SZIPL, PC



Joerg-Uwe Szipl
Reg. No. 31,799

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1,834.00**Complete if Known**

Application Number	09/983,029
Filing Date	October 22, 2001
First Named Inventor	Keiichiro OISHI
Examiner Name	
Group Art Unit	
Attorney Docket No.	SUGI 0085

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit Account Number
Deposit Account Name

GRIFFIN & SZIPL, P.C.

50-1281

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
14	14		-20** = 0	18	-0-
			-3** = 11	84	924
				280	-0-

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 924.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00**SUBMITTED BY**

Name (Print/Type) Joerg-Uwe Szipl

Signature

Registration No. (Attorney/Agent)

31,799

Complete (if applicable)

Telephone (703) 979-5700

Date

January 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/983,029	10/22/2001	Keiichiro Oishi	SUGI 0085

24203
GRIFFIN & SZIPL, PC
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2300 NINTH STREET, SOUTH
ARLINGTON, VA 22204



CONFIRMATION NO. 8194

FORMALITIES LETTER



OC000000007101572

Date Mailed: 11/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$924.
 - \$924 for 11 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1794.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 NROCHA1 00000046 09983029

01 FC:101	740.00 OP
02 FC:102	924.00 OP
03 FC:105	130.00 OP